



# Do male researchers disregard the work of female researchers? The role of gender in citation decisions

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#### Motivation: The Gender Gap in Citations

• Male's work is more frequently cited than female's work

#### LEAD-AUTHOR GENDER AND CITATION

Papers with female authors in key positions are cited less than those with male authors in key positions, be they papers with one author, or those resulting from national or international collaborations.



Based on 5,483,841 research papers in the Web of Science database 2008-2013 (Sugimoto 2018)

• Caused by a "gender homophily bias"?

### Gender Homophily Bias in Citations

• Disproportionately citing references of own gender



• Example: articles in sociology journals 1985-1994 (Davenport 1995; random sample out of SSCI-journals)



### Gender Homophily Bias in Citations

• Authors disproportionately cite references of own gender



- So far all studies report evidence for gender homophily
  - N = 12 studies, covering many disciplines
  - Mean GHR (also including mixed gender teams): 12ppts

#### Partly Caused by Self-Citations

• In particular men tend to cite themselves

#### **SELF-CITATION RATES**

Men have had a consistently higher rate of self-citation in publications than women starting in the 1960s.



• But even without self-citations studies find evidence for gender homophily bias (e.g. Ghiasi et al. 2018; Pothoff & Zimmermann 2017)

#### **Further Explanations**

- "Matilda effects": Less recognition of the work of females (Ferber et al. 1986; Kanter 1970)
- But females might be more likely be aware of and cite females' work (e.g. because of same-gender networks)
- "Implicit biases" (but how, and why??)
- So far there is not any clear and consistent explanation
- But there are lots of policy recommendations

#### **Already Some Actions Taken**

#### SWEDEN

## Row over 40% gender quota for reading lists at Lund

Jan Petter Myklebust 24 November 2017



#### Already Some Actions Taken

SAGE research Methods Foundations Review Form
<ul> <li>The content of the entry needs to be accessible - Could an upper level UG student or PG student new to the topic understand this flagship?</li> </ul>
🗆 Yes 🗆 No
Please briefly explain your reasoning, especially if your answer is no:
<ul> <li>Does it appropriately cite male and female authors?</li> </ul>
🗆 Yes 🗆 No
If no, please make suggestions for citations to add/delete to the author to ensure a balance:

Sen 2018: p. 335

#### Citation Patterns Could Emerge from Unbiased Science?

• Homophily bias would exist with a direct gender effect



- Unbiased selection of references would exist with
  - Maximum substantive fit to research question
  - Maximum quality (rigor, impact)
  - Selection based on the whole population of existing references
- These factors could lead to indirect effects (mediators)



#### Horizontal Segregation: Research Field as Mediator



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#### Time Trends: Age of Research Topic as Mediator



- Higher age of research topic  $\rightarrow$  more references by males
- Males might more strongly focus on old, "classical" topics (e.g. because of their higher academic age)

#### **Our Contribution**

- Does gender homophily exist when controlling mediators?
  - Sophisticated measurement of fields/research topics
  - Indicator for **quality** of cited papers

#### Data



#### Identification Strategy

- For focal papers, estimate the effect of focal paper gender on the share of male-authored papers among citing papers
- Linear regression
  - Units: focal papers
  - Dep. variable: share of male-authored papers among citing papers
  - Homophily: effect of focal paper gender
  - Control for keywords, quality rating, age of paper, team size
- Expectation
  - Without including control variables: positive effect of focal paper being authored by males
  - After adding control variables: smaller (no) effect of focal paper being authored by males

DV: Share of male-authored papers among citing papers (%)

• M1: No controls (N = 42,718)



Tekles/Auspurg/Bornmann

DV: Share of male-authored papers among citing papers (%)

- M1: No controls (N = 42,718)
- M2: Controlling for field/topic keywords (N = 42,676)



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DV: Share of male-authored papers among citing papers (%)

- M1: No controls (N = 42,718)
- M2: Controlling for field/topic keywords (N = 42,676)
- M3: Full model (N = 42,676)



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- Considering binary variables for field/topic only controls effect of each keyword, independently of other keywords
- But: Fields/topics may be better represented by certain combinations of keywords
- Idea: for pairs of focal papers, use the number of shared keywords as indicator for topical similarity
- For all pairs of focal papers (one female-authored, one male-authored) with at least x shared keywords: plot histogram of the difference in the share of male-authored papers in the citing papers

















#### **Conclusions & Outlook**

- Granularity of topological classification matters
- After thoroughly controlling for field/topic, evidence for gender homophily is completely gone
- Other variables have small effects (in our selective sample)
- General take home-message: Comparing citations (e.g. for evaluations) require thorough standardizations for fields/topics
- But only first results, we still work on robustness checks
  - Different operationalizations of author team's gender
  - Analyses for non-F1000 Prime papers, e.g. in social sciences
  - Different approaches to control field/topic (e.g. similarity based on titles/abstracts)